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2123 #3  
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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/923,060
Filing Date	August 6, 2001
First Named Inventor	A. Malthe-Sorensen et al.
Group Art Unit	2123
Examiner Name	N/A
Attorney Docket Number	CON-1006D1 (ICR-99/045A)

Total Number of Pages in This Submission 4

## ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) \_\_\_\_\_

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):  
Postcard; 15 references

Remarks

The commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 13-0010 (CON-1006D1 (ICR-99/045A))

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Kaushik P. Sriram, Reg. No. 43,150

Signature

*KP Sriram*

Date

December 10, 2001

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Technology Center 2100

APPLICANT: A. Malthe-Sorensen et al.

Group Art Unit: 2123

SERIAL NO.: 09/923,060

Examiner: Not Assigned

FILED: August 6, 2001

TITLE: "Method of Modeling of Faulting  
and Fracturing in the Earth"

Atty Docket No.: CON-1006D1

BOX DD

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the references listed on the attached Form PTO-1449 be considered by the Examiner and made of record.

This Information Disclosure Statement is not to be considered as a representation that a search has been made or that no other material information as defined under 37 C.F.R. § 1.56(a) exists.

The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 13-0010 (CON-1006D2).


Respectfully submitted,

Dated: December 10, 2001

  
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By:   
Beth Pearson-Naul



## INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

CON-1006-D1

SERIAL NO.

09/923,060

APPLICANT

Anders Malthé-Sorensen et al.

FILING DATE

August 6, 2001

GROUP

2123

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## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4,821,164	04/11/89	Swanson	364	420	07/25/86
	AB	4,991,095	02/05/91	Swanson	364	421	11/21/88
	AC	5,659,135	08/19/97	Cacas	73	152.02	04/10/96
	AD	5,661,698	08/26/97	Cacas	367	73	10/18/95
	AE	5,815,154	09/29/98	Hirschtick et al.	345	356	12/20/95
	AF	5,838,634	11/17/98	Jones et al.	367	73	12/09/96
	AG	5,844,564	12/01/98	Bennis et al.	345	423	04/11/97

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	AH							
	AI							
	AJ							

## OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)

	AK	D.M.de G. Allen; Chapter 2, Linear Algebraic Equations (continued), Relaxation Methods, McGraw Hill N.Y. 1954, pp. 12, 14-23.						
	AL							
	AM							

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next comment to applicant



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	BA	5,953,680	09/14/99	Divies et al.	702	5	05/07/97
	BB	6,035,255	03/07/00	Murphy et al.	702	11	12/01/97
	BC	6,038,514	03/14/00	Nozaki	702	27	08/12/97
	BD	6,044,328	03/28/00	Murphy et al.	702	11	12/01/97
	BE	6,070,125	05/30/00	Murphy et al.	702	11	12/01/97
	BF	6,089,744	07/18/00	Chen et al.	364	578	12/29/97
	BG	5,606,517	02/25/97	Traub et al.	364	578	06/08/94

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	BH							
	BI							
	BJ							

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